

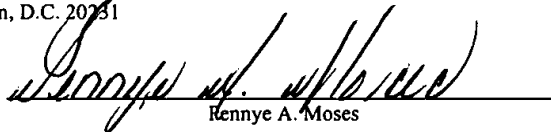
Attorney Docket No. 32892.27

PATENT

#3

CERTIFICATE OF MAILING BY EXPRESS MAIL
37 C.F.R. §1.10

I hereby certify that this paper, with its indicated enclosures, is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee", Express Mail No. EE507465909US, under 37 C.F.R. §1.10 on April 2, 1998, and is addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231


Remy A. Moses

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Eugenio A. Cefali
Serial No.: 08/962,027
Filing Date: October 31, 1997
Group Art Unit: 1615
Examiner: Unassigned
Title: *Intermediate Release Nicotinic Acid Compositions for Treating Hyperlipidemia Having Unique Cmax, Tmax and AUC Biopharmaceutical Characteristics*

Box Missing Parts
Assistant Commissioner of Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Pursuant to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed February 23, 1998, Applicant files herewith the following documents:

- ☒ Executed Statement Claiming Small Entity Status pursuant to 37 C.F.R. 1.9(f) and 1.27(c);
- ☒ Executed Declaration and Power of Attorney;
- ☒ Copy of Notice to File Missing Parts of Application, as required;

- ☒ Check in the amount of \$748.00 to cover the basic filing fee for a small entity, claims in excess of 20, excess independent claims, and the surcharge associated with the Notice to File Missing Parts;
- ☒ Return postcard.

Please correct the Patent Office records to indicate our Attorney Docket No. in this matter to be 32892.27.

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and may be required under 37 CFR 1.16-1.18 (missing or insufficiencies only) now or hereafter relative to this application and for the resulting Official Document under 37 CFR 1.20, OR credit any overpayment to our Deposit Account No. 10-0447/32892.27, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

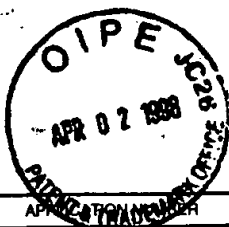
Respectfully Submitted,

Date: April 2, 1998

Peter J. Manso
Reg. No. 32,264

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Suite 1800
Houston, Texas 77002
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SECTOR \$

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/962,027 10/31/97 CEFALI

E 32892.23

0242/0223

NOT ASSIGNED

PETER J MANSO
JENKENS & GILCHRIST
1445 ROSS AVENUE SUITE 1800
DALLAS TX 75202-2799

DATE MAILED: 615

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

02/23/98

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1,500.

☒ 1. The statutory basic filing fee is:

☐ missing.

☐ insufficient.

Applicant must submit \$ 1790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 586 including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

☐ is missing.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

☐ missing.

☐ by a person other than the inventor or person named under 37 CFR 1.42, 1.43, or 1.47.

☐ A properly signed oath or declaration, in compliance with 37 CFR 1.63, identifying the application by the above

Application Number and Filing Date, is required.

Signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER Need Small Entity Statement Signature
Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

D. Martin
Customer Service Center
Initial Patent Examination Division (703) 308-1202